**ENVIRONMENTAL AND SOCIAL SAFEGUARDS AND PROCUREMENT**

**This form is required by the NRPB to pre-screen your application.**

**Basic information about your business**

|  |  |
| --- | --- |
| 1. Your name | 1. First name, Last name |
| 1. Business name | 1. Click or tap here to enter text. |
| 1. CRIB number | 1. Click or tap here to enter text. |
| 1. Chamber of Commerce number | 1. Click or tap here to enter text. |
| 1. Telephone number | 1. Click or tap here to enter text. |
| 1. Email address | 1. Click or tap here to enter text. |
| 1. Address, City/District | 1. Street, number, District / City |

**Excluded Businesses:**

|  |  |  |
| --- | --- | --- |
| **Does your business fall within the following categories?** | **YES/ NO/ Not applicable** | **Comments** |
| Businesses of current sitting Ministers, Members of Parliament, Secretary General (acting) or spouses thereof | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Businesses of current NRPB Staff or Consultants | Choose an item. | Insert any comments, especially if ‘Yes’ or ‘Not Applicable’ was selected. |

**Environmental and Social screening**

## Excluded Activities:

Guidance: *If intended activities fall within the categories below, your business will not be eligible for the Enterprise Support Project.*

|  |  |  |
| --- | --- | --- |
| **Does your intended activity fall within the following categories?** | **YES/ NO/ Not applicable** | **Comments** |
| Land purchase, and/or water rights acquisition | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Load bearing construction works | Choose an item. | Insert any comments, especially if ‘Yes’ or ‘Not Applicable’ was selected. |
| Activities that affect water bodies in disputed areas | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Production or trade of weapons and munitions | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Adult entertainment | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Production or trade of strong alcoholic beverages (excluding beer, and bars and restaurants) | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Production or trade of tobacco | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Gambling, casinos activities, and equivalent activities. | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Trade in wildlife or wildlife products regulated under Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| **Does your intended activity fall within the following categories?** | **YES/ NO/ Not applicable** | **Comments** |
| Purchasing/selling radioactive materials or unbounded asbestos fibers | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Activities that involve the purchase, use or selling of Persistent organic pollutants (POPs), Polychlorinated biphenyl compounds (PCBs, a class of synthetic organic chemicals), Pesticides/herbicides subject to international phaseouts or bans; Ozone depleting substances subject to international phaseout | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Activities that lead to loss of peoples’ livelihood permanently or temporarily | Choose an item. | Insert any comments, especially if ‘Yes’ or ‘Not Applicable’ was selected. |
| Purchasing, use or selling Pharmaceuticals subject to international phaseouts or bans | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Commercial logging operations or the purchase of logging equipment for use in primary tropical forest | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Drift net fishing in the marine environment using nets longer than 2.5 km | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Activities that require significant labor influx. | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Activities that adversely affect vulnerable people and underserved groups (for example, elderly poor pensioners, the physically challenged, women, particularly head of households or widows) living in the area. | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Activities that are in noncompliance with labor principles, occupational health and safety, and legislation relevant to the country | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Activities that have significant adverse environmental impacts that are sensitive, diverse, or unprecedented. These impacts may affect an area broader than the sites or facilities subject to physical works. | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Activities that would involve conversion or degradation of natural habitats, natural landscapes or which would involve the harvesting, use, or change in management of forest or coastal resources | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Activities in an international waterway or in a disputed area | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Activities that are illegal under national laws, regulations, or ratified international conventions and agreements | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Activities that involve international trade in waste or waste products, except for nonhazardous waste destined for recycling | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Activities that involve degradation of a national park, similar protected area or national monument | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| Activities that involve real estate speculation | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |

## Additional screening questions

|  |  |  |
| --- | --- | --- |
| **Additional screening questions** | **YES/ NO/ Not applicable** | **Comments** |
| 1.a. Are the business’ required environmental and social / labor Licenses or Permits invalid or in default? | Choose an item. | Insert any comments, especially if ‘Yes’ or ‘Not Applicable’ was selected. |
| 1.b. Are there reasonable grounds to determine that the business which requires a permit, license, or other approval, has not taken appropriate steps with relevant authorities to obtain these? | Choose an item. | Insert any comments, especially if ‘Yes’ or ‘Not Applicable’ was selected. |
| 2.a. Did the business receive any environmental and social/labor fines, fees, penalties, claims, or warnings from relevant authorities within the last five years? | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| 2.b. If the business has outstanding fees, fines or penalties, is there reasonable evidence that appropriate steps have been taken with relevant authorities? | Choose an item. | Insert any comments, especially if ‘No’ was selected. |
| 3. Are there media reports or complaints from the public, the community, or NGOs about the business’s activities (past, current or proposed)? | Choose an item. | Insert any comments, especially if ‘Yes’ or ‘Not Applicable’ was selected. |
| 4. Is the business under any type of investigation for fraud, corruption or any financial crimes such as embezzlement or tax evasion? | Choose an item. | Insert any comments, especially if ‘Yes’ was selected. |
| 5. Is one of the owners or officials of the business under any type of investigation or has one of the owners or officials ever been convicted of a felony, including for fraud, corruption, violent crimes or any financial crimes such as embezzlement or tax evasion and/or declared bankruptcy in the past 5 years in or outside of Sint Maarten. | Choose an item. | Insert any comments, especially if ‘Yes’ was selected. |
| Note: a positive response to the above question does not imply that an application is automatically ineligible. | | |
| 6.a. Does the business release emissions to the atmosphere (dust, odors, fumes, exhaust gases, volatile organic compounds)? | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| 6.b. If the business releases emissions to the atmosphere, do those emissions meet the criteria as determined by Sint Maarten Legislation | Choose an item. | Insert any comments, especially if ‘No’ was selected. |
| 7.a. Does the business have activities which cause excessive noise or vibration? | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| 7.b. If the business produces noise or vibration, do those noise and vibration levels meet the criteria as determined by Sint Maarten Legislation? | Choose an item. | Insert any comments, especially if ‘No’ was selected. |
| 8.a. Does the business release untreated discharges to waterways (streams, ponds, lagoons), the ocean, green areas or the public road? | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| 8.b. If the business releases discharges, do those discharges meet the criteria as determined by Sint Maarten Legislation? | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| 9. Does the business use substantial quantities of natural resources such as land, water, natural materials (sand, stone, soil, organic material), fuels or energy, especially any resources which are non-renewable or in short supply? | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| 10. Does the business violate any national policies and good practices for Covid-19 prevention provisions at work places? | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| 11. Does the business violate any national law or good practice for occupational health and safety, industrial hygiene, and employee well-being? | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| 12. Are there any areas around the business location which are occupied by sensitive land uses e.g., hospitals, schools, places of worship, community facilities, cultural heritage sites? | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| 13. Has the business been involved with any significant accidents, incidents, fatalities, or worker health and safety fatalities in the last five years? | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| 14. Does the business engage in work in the following sectors:  • agriculture  • Dredging  • Chemical processing  • Waste management (solid or liquid)  • Oil and gas processing, storage, transportation, or sale  • Civil works and infrastructure (water supply, roads, electricity)  • Development or conversion of previously undeveloped land  • Marine sports/recreational activities, Fishing, aquaculture, or  silviculture  • Manufacturing of textiles, leather, metals, paints or plastics  • Significant amounts of pesticides or herbicides.   * Painting of boats or other marine vessels | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| 15. Is there evidence that the business property has drums, pits, stockpiled chemicals, spills, stained soils, dead vegetation, polluted waterways, or other contamination or pollution? | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| 16. Is the business or any of its activities located in or around a natural area (forest, park, proposed conservation zone, pond, beach) near a protected area. | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| **Additional screening questions** | **YES/ NO/ Not applicable** | **Comments** |
| 17. Will the business affect coastal or marine areas (beaches, seagrass, coral reefs) or involve aquaculture, fishing, or harvesting of marine resources? | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| 18. Is the business located on or around areas which are important or sensitive for reasons of their ecology, e.g., wetlands, watercourses or other water bodies, the coastal zone, previously undeveloped hillside forests or woodlands, areas used by protected, important or sensitive species of fauna or flora, which could be affected by business activities? | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| 19. Will the business affect a national monument or areas of known national, or regional cultural heritage significance (historic structures, historic trees, artefacts, or landmarks)? | Choose an item. | Insert any comments, especially if ‘Not Applicable’ was selected. |
| 20. In case of a positive answer to questions 16 to 19, is there an assessment of any potential impacts (e.g., reports, studies, or ESIA)? | Choose an item. | Insert any comments, especially if ‘No’ was selected. |

**Procurement Requirements for Asset and Repair Financing:**

**Guidance:**

* All Assets and Repairs included in the financial projections must be included in the tables below under Goods or Works/ Services.
* For Working Capital please provide line items and cost estimation. **For Working Capital quotes are not required**.
* The definition of procurement is: the action or process of finding and agreeing to terms, and purchasing goods or works/services.
* Comparable quotes should in principle come from different suppliers.
* The ‘Key technical specifications’ should be at least 2 lines.
* The ‘cost (US$)’ should be quoted in US Dollars and include taxes, shipping and the installation service.
* Each line item should be reflected in a quote.
* The red example 0 in the Goods table is for the purchase of 1 laptop.

Does your application have an Assets and Repairs (AR) component? **Choose an item.**

## Goods

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| 1. Item no. | 1. Description | 1. Supplier | 1. Key technical specifications | 1. Quoted cost of preferred supplier (US$) | 1. 3 quotes  YES /NO | 1. Justification |
| 1. *0* | 1. *1 laptop* | 1. *IT technology B.V. Point Blanche #27, CRIB 44000022* | 1. *13-inch laptop with minimum requirements: i5 processor, 8 GB of RAM, 128 GB SSD h*ard drive*.* | 1. *2,777.* | 1. *YES* |  |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | |  |  | 1. **Subtotal Goods** | 1. **$ 0.00** |  |  |
| 1. Item no. | 1. Description | 1. Supplier | 1. Key technical specifications | 1. Quoted cost of preferred supplier (US$) | 1. 3 quotes  YES /NO | 1. Justification |
| 1. 1 | | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 2 | | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 3 | | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 4 | | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 5 | | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 6 | | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 7 | | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 8 | | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 9 | | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 10 | | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 11 | | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 12 | | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 13 | | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 14 | | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 15 | | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |

## Works/Services

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  | 1. **Subtotal Works/ Services** | 1. **$ 0.00** |  |  |
| 1. Item no. | 1. Description | 1. Supplier | 1. Key technical specifications (2 or 3 lines) | 1. Quoted cost of preferred supplier (US$) | 1. 3 quotes  YES /NO | 1. Justification |
| 1. 1 | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 2 | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 3 | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 4 | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |
| 1. 5 | 1. Click or tap here to enter description. | 1. Click or tap here to enter supplier. | 1. Click or tap here to enter technical specification. | 1. Click or tap here to enter cost. | 1. Choose an item. | 1. Insert justification if item is above 2,500 USD and less than 3 quotes from different suppliers are provided. |

**Total goods and works/services =$ 0.00**

*With my signature, I confirm that, to the best of my ability, the data included in this form is accurate and understand that if in the review of this form, or during the tenor of the package it is found out that the information provided is inaccurate, my company will not be allowed to participate in the project now or in the future and the support may be annulled. I also give permission to the NRPB to share my identification and that of the managing directors and shareholders to conduct the screening which forms part of the ESP process and eligibility criteria.*

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Date:** Click or tap to enter a date. **Signature of Authorized Person**

When you send the file for the first time, you do not have to sign it. You can send the digital document by email to [enterprisesupport@nrpbsxm.org](mailto:enterprisesupport@nrpbsxm.org)