

**ENTERPRISE SUPPORT PROJECT (ESP)
SUPPLEMENTAL APPLICATION FORM 1:
ENVIRONMENTAL AND SOCIAL SAFEGUARDS AND PROCUREMENT**

This form is required before the PFI evaluates your grant-loan application.

1 Basic MSME Information

Name	
Business name	
CRIB number	
Address, City/District	

Environmental and Social screening

1. Excluded Activities:

Guidance: If intended activities fall within the categories below, the MSME will not be eligible for the Enterprise Support Project.

Does your intended activity fall within the following categories?	YES	NO	Not Applicable/ Comments
Land acquisition			
Load bearing construction works			
Activities that affect water bodies in disputed areas			
Production or trade of weapons and munitions			
Adult entertainment			
Production or trade of strong alcoholic beverages (excluding beer, and bars and restaurants)			
Production or trade of tobacco			
Gambling, casinos activities, and equivalent activities.			
Trade in wildlife or wildlife products regulated under Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)			
Purchasing/selling radioactive materials or unbounded asbestos fibers			
Activities that involve the purchase, use or selling of Persistent organic pollutants (POPs), Polychlorinated biphenyl compounds (PCBs, a class of synthetic organic chemicals) , Pesticides/herbicides subject to international phaseouts or bans; Ozone depleting substances subject to international phaseout			
Purchasing, use or selling Pharmaceuticals subject to international phaseouts or bans			
Commercial logging operations or the purchase of logging equipment for use in primary tropical moist forest			
Drift net fishing in the marine environment using nets longer than 2.5 km			
Activities that require significant labor influx.			

Does your intended activity fall within the following categories?	YES	NO	Not Applicable/ Comments
Activities that adversely affect vulnerable people and underserved groups (for example, elderly poor pensioners, the physically challenged, women, particularly head of households or widows) living in the area.			
Activities that are noncompliance with labor principles, occupational health and safety, and rights relevant to the island			
Activities that have significant adverse environmental impacts that are sensitive, diverse, or unprecedented. These impacts may affect an area broader than the sites or facilities subject to physical works.			
Activities that would involve conversion or degradation of natural habitats or which would involve the harvesting, use, or change in management of forest or coastal resources			
Activities in an international waterway or in a disputed area			
Activities that are illegal under country laws, regulations, or ratified international conventions and agreements			
Activities involve international trade in waste or waste products, except for nonhazardous waste destined for recycling			
Activities that involve degradation of a national park, similar protected area or national monument			
Activities that involve real estate speculation			

Additional screening questions	YES	NO	Not Applicable/ Comments
1.a. Are MSME's required environmental and social / labor Licenses or Permits invalid or in default?			
1.b. Is there reasonable grounds to determine that the MSME who requires a permit, license, or other approval, has not taken appropriate steps with relevant authorities to obtain these?			
2.a. Did the MSME receive any environmental and social/labor fines, fees, penalties, claims, or warnings from relevant authorities within the last five years?			
2.b. If the MSME has outstanding fees, fines or penalties, is there reasonable evidence that appropriate steps have been taken with relevant authorities?			
3. Are there media reports or complaints from the public, the community, or the NGOs about the MSME's activities (past, current or proposed)?			
4.a. Does the MSME release emissions to the atmosphere (dust, odors, fumes, exhaust gases, volatile organic compounds)?			
4.b. If the MSME releases emissions to the atmosphere, do those emissions meet the criteria as determined by Sint Maarten Legislation			
5.a. Does the MSME have activities which cause excessive noise or vibration?			
5.b. If the MSME produces noise or vibration, do those noise and vibration levels meet the criteria as determined by Sint Maarten Legislation?			
6.a. Does the MSME release untreated discharges to waterways (streams, ponds, lagoons), the ocean, green areas or the public road?			
6.b. If the MSME releases discharges, do those discharges meet the criteria as determined by Sint Maarten Legislation?			

Additional screening questions	YES	NO	Not Applicable/ Comments
7. Does MSME use substantial quantities of natural resources such as land, water, natural materials (sand, stone, soil, organic material), fuels or energy, especially any resources which are non-renewable or in short supply?			
8. Does the MSME violate any national guidelines and good practices for Covid-19 prevention provisions at work places?			
9. Does the MSME violate any national law or good practice for occupational health and safety, industrial hygiene, and employee well-being?			
10. Are there any areas around the MSME location which are occupied by sensitive land uses e.g. hospitals, schools, places of worship, community facilities, cultural heritage sites?			
11. Has the MSME been involved with any significant accidents, incidents, fatalities, or worker health and safety fatalities in the last five years?			
12. Does the MSME engage in work in the following sectors or use: <ul style="list-style-type: none"> • agriculture • Dredging • Chemical processing • Waste management (solid or liquid) • Oil and gas processing, storage, transportation, or sale • Civil works and infrastructure (water supply, roads, electricity) • Development or conversion of previously undeveloped land • Marine sports/recreational activities, Fishing, aquaculture, or silviculture • Manufacturing of textiles, leather, metals, or plastics • Significant amounts of pesticides or herbicides. 			
13. Is there evidence that the MSME property has drums, pits, stockpiled chemicals, spills, stained soils, dead vegetation, polluted waterways, or other contamination or pollution?			
14. Is the MSME or any of its activities located in or around a natural area (forest, park, proposed conservation zone) near a protected area.			
15. Will the MSME affect coastal or marine areas (beaches, seagrass, coral reefs) or involve aquaculture, fishing, or harvesting of marine resources?			
16. Is the MSME located on or around areas which are important or sensitive for reasons of their ecology, e.g. wetlands, watercourses or other water bodies, the coastal zone, previously undeveloped hillsides forests or woodlands, areas used by protected, important or sensitive species of fauna or flora, which could be affected by MSME activities?			
17. Will the MSME affect a national monument or areas of known national, or regional cultural, heritage resources (historic structures, artefacts, or landmarks)?			
18. In case of a positive answer to questions 14 to 17, is there an assessment of any potential impacts (reports, studies, or ESIA) ?			

2 Procurement Requirements for Asset and Repair Financing: Part 1 (pre-PFI approval)

Guidance:

- *This part of the form needs to be filled in and delivered to the financial institution together with the business plan and other required documents.*
- *All Assets and Repairs included in the financial projections must be included in the tables below under Goods, Services or Works.*
- *The definition of procurement is: the action or process of finding and agreeing to terms, and purchasing goods, services or works.*
- *The ‘Key technical specifications’ should be at least 2 lines.*
- *The ‘Estimated cost (US\$)’ should be quoted in US Dollars and include taxes, shipping and the installation service.*
- *Similar items must be grouped in one single line. See example 0 in the Goods table for the purchase of 3 laptops.*

Does your application have an Assets and Repairs (AR) component? Yes / No

2. Goods

Item no.	Description	Key technical specifications	Estimated cost (US\$)
0	3 laptops	13 inch laptops with minimum requirements: i5 processor, 8 GB of RAM, 128 GB SSD hard drive.	2,500
1			
2			
3			
4			
5			

3. Works

Item no.	Description	Key technical specifications (2 or 3 lines)	Estimated cost (US\$)
0	Repairs bar	Repairs the walls and fix the tile floors. Change doors and windows.	5,000
1			
2			
3			
4			
5			

With my signature, I confirm that, to the best of my ability, the data included in this form is accurate and understand that if in the review of this form, or during the tenor of the package it is found out that the information provided is inaccurate, my company will not be allowed to participate in the project now or in the future and the support may be annulled.

Date:

MSME Signature